



U.S. Securities and
Exchange Commission

Tips, Complaints, and Referrals

Summary Page - After Submission

This export was generated on Thu, July 09, 2026 at 02:31:50 PM EDT

The Complaint Form questions that you responded to, the answers you entered for those questions, and any documents that you have uploaded to this TCR are listed below.

Submission Number: 17836-219-088-876

Thank you for contacting the United States Securities and Exchange Commission. This automated response with your Submission Number confirms that your submission has been received successfully. Please write down your Submission Number or print/save a copy of your submission for future reference. Once you navigate away from this page you will not be able to get back to your submission.

We are always interested in hearing from the public, and your submission will be given careful consideration in view of the Commission's overall responsibilities under the federal securities laws. Please note, however, that it is the Commission's policy to conduct its investigations on a non-public basis in order to preserve the integrity of its investigative process. Subject to the provisions of the Freedom of Information Act, we cannot disclose to you any information which we may gather, nor can we confirm the existence or nonexistence of an investigation, unless such information is made a matter of public record in proceedings brought before the Commission or the courts.

Therefore, this may be the only response that you receive. If you want to learn more about how the Commission handles inquiries or complaints, please visit http://www.sec.gov/complaint/info_tipscomplaint.shtml.

Please note that if you selected an answer of "Yes" to the question indicating that you want to file this information under the SEC's Whistleblower program, you are agreeing to the following statement:

- I declare under penalty of perjury under the laws of the United States that the information contained herein is true, correct and complete to the best of my knowledge, information, and belief. I fully understand that I may be subject to

prosecution and ineligible for a whistleblower award if, in my submission of information, my other dealings with the SEC, or my dealings with another authority in connection with a related action, I knowingly and willfully make any false, fictitious, or fraudulent statements or representations, or use any false writing or document knowing that the writing or document contains any false, fictitious, or fraudulent statement or entry.

If you are an attorney completing this form on behalf of your client, by selecting an answer of “Yes” to the question indicating that you want to file this information under the SEC’s Whistleblower program, you are agreeing to the following statement:

- I certify that I have reviewed this form for completeness and accuracy and that the information contained herein is true, correct and complete to the best of my knowledge, information and belief. I further certify that I have verified the identity of the whistleblower on whose behalf this form is being submitted by viewing the whistleblower’s valid, unexpired government issued identification (e.g., driver’s license, passport) and will retain an original, signed copy of this form, with Section F signed by the whistleblower, in my records. I further certify that I have obtained the whistleblower’s nonwaivable consent to provide the Commission with his or her original signed Form TCR upon request in the event that the Commission requests it due to concerns that the whistleblower may have knowingly and willfully made false, fictitious, or fraudulent statements or representations, or used any false writing or document knowing that the writing or document contains any false fictitious or fraudulent statement or entry; and that I consent to be legally obligated to do so within 7 calendar days of receiving such a request from the Commission.

PRINT SUBMISSION

What is your complaint about?

Please select the option that best describes your complaint.

Material misstatement or omission in a company's public filings or financial statements, or a failure to file

Please select the specific category that best describes your complaint.

False/misleading offering documents

Is this supplemental information to a previous complaint?

Yes

What is the Submission Number of the previous complaint?

17824-136-196-646

In your own words, describe the conduct or situation you are complaining about.

This submission supplements my previous TCR submissions (Nos. 17814-688-366-209 and 17824-136-196-646) concerning Anthropic PBC's confidential draft S-1 registration statement and related investor disclosure considerations.

Since my previous submission, a further procedural development has occurred in the pending Indian commercial litigation, COMM.O.S. No. 2/2026, before the X Additional District and Sessions Judge, Belagavi, Karnataka, India.

On 9 July 2026, the Commercial Court recorded that counsel for the Plaintiff submitted the E-mail Certificate together with the Certificate of Attestation evidencing service of summons/notice upon Defendant No.1 (Anthropic PBC). Following that, counsel appearing for Defendant No.1 filed a Memo of Appearance before the Court and undertook to file the formal Vakalatnama on the next date of hearing. The Court thereafter posted the matter to 23 July 2026 for the appearance of Defendant No.1.

This development confirms that, following service of process previously reported to the Commission, Anthropic PBC has entered appearance through legal counsel before the competent Indian Commercial Court. Although this procedural step does not determine the merits of the litigation, it demonstrates that the proceedings are active and contested and continue to concern competing rights relating to Anthropic PBC's principal corporate and commercial identifier in India.

My earlier submissions described the pending commercial litigation, competing trademark proceedings before the Indian Trade Marks Registry, completed Hague Convention service of process, asserted prior-use rights dating back to 2017, and documented instances of marketplace confusion and email misrouting. This update is submitted only to inform the Commission of a subsequent procedural development in those proceedings.

I respectfully request that this supplemental information be associated with my previous TCR submissions and considered together with the materials already provided. I am not requesting that the Commission determine the merits of the pending Indian proceedings, as those issues are properly before the Indian courts and trademark authorities. Rather, I respectfully submit this factual update so that the Commission has a current record when evaluating whether the existence and continuing progress of these legal proceedings, and the associated legal, intellectual property, branding, and operational risks, should be considered in connection with Anthropic PBC's registration statement and related disclosures under the U.S. federal securities laws.

Are you having or have you had difficulty getting access to your funds or securities?

Unknown

Did you suffer a loss?

No

When did you become aware of the conduct? (mm/dd/yyyy)

08/05/2025

When did the conduct begin? (mm/dd/yyyy)

08/05/2025

Is the conduct ongoing?

Yes

Has the individual or firm acknowledged the conduct?

No

How did you learn about the conduct? You may select more than one answer.

Conversations

Have you taken any action regarding your complaint? You may select more than one answer.

Legal action

Provide details.

This submission supplements SEC TCR Submission Nos. 17814-688-366-209 and 17824-136-196-646 and should be considered together with the previously submitted complaints and supporting documentation.

1. Background

My earlier submissions informed the Commission that Commercial Original Suit No. COMM.O.S. No. 2/2026 is pending before the Commercial Court at Belagavi, Karnataka, India, concerning competing rights to the "ANTHROPIC" name and related allegations of prior commercial use, trademark rights, marketplace confusion, email misrouting, and associated legal and operational risks. I also informed the Commission that Anthropic PBC had been formally served through the procedures prescribed by the Hague Service Convention.

2. Procedural Development on 9 July 2026

On 9 July 2026, the Commercial Court recorded further proceedings in the matter.

The Court recorded that:

Counsel for the Plaintiff produced the E-mail Certificate together with the Certificate of Attestation evidencing service of summons/notice upon Defendant No.1 (Anthropic PBC).

Counsel appearing for Defendant No.1 (Anthropic PBC) filed a Memo of Appearance before the Court.

Counsel further undertook to file the formal Vakalatnama on the next hearing. The Court accordingly posted the matter to 23 July 2026 for the appearance of Defendant No.1.

Official copies of the Court's Daily Order and Case Status are enclosed with this supplemental submission.

3. Significance of the Development

This procedural development demonstrates that, following international service of process previously reported to the Commission, Anthropic PBC has entered appearance before the competent Indian Commercial Court through legal counsel.

This update is submitted solely as an objective procedural development.

I am not suggesting that this appearance determines the merits of the litigation, which remain to be adjudicated by the Indian courts.

However, the appearance confirms that the litigation is no longer merely at the service stage but is now an actively contested judicial proceeding concerning Anthropic PBC's principal corporate and commercial identifier in India.

4. Relevance to Previous Submissions

This development should be read together with the matters previously submitted to the Commission, including:

the pending Commercial Suit COMM.O.S. No. 2/2026;
the completed Hague Convention service of process;
the pending trademark opposition proceedings;
the pending rectification proceedings;
asserted prior commercial use dating back to 2017;
documented marketplace confusion and business communication misrouting; and
the resulting legal, branding, intellectual property, operational, and international business risks described in my earlier submissions.

5. Request

I respectfully request that this supplemental information be associated with SEC TCR Submission Nos. 17814-688-366-209 and 17824-136-196-646 and considered together with the previously submitted materials.

I am not requesting that the Commission determine the merits of the pending Indian proceedings, as those issues are properly before the Indian courts and trademark authorities. Rather, I respectfully request that the Commission consider this additional factual development when evaluating whether Anthropic PBC's registration statement and related disclosures appropriately address ongoing legal proceedings and associated risks that may be material to a reasonable investor.

Who are you complaining about?

Person or Firm 1

Are you complaining about a person or a firm?

Firm

Select the title that best describes the firm the complaint is about.

Private/Closely Held Company

Are you or were you associated with the person or firm when the alleged conduct occurred?

No

Identifier Type

Unknown

Are you a current or former Employee, Officer, Partner, or Employee Director of any entity you are complaining about?

No

Are you a current or former Non-Employee Director, Consultant, Contractor or Trustee of any entity you are complaining about?

No

Firm Name

Anthropic PBC

Street Address

Anthropic, PBC 548 Market Street, PMB 90375

Country

United States

Zip / Postal Code

94104-5401

City

San Francisco

State / Province

California

Email Address

legal@anthropic.com

Website<https://www.anthropic.com/>

If the complaint is about an entity or person that has custody or control of your investments, have you had difficulty contacting that entity or person?

No

Which investment products are involved?

Select the type of product involved in your complaint.

Equities (e.g., common stock, preferred stock)

Please select the category that best describes the security product.

Other equities

For other equities, please provide more information.

Anthropic PBC draft S-1 registration statement

Enter the product name(s), if known.

Anthropic PBC draft S-1 registratio

About you

Are you filing this tip under the SEC's whistleblower program?

No

Are you submitting this tip, complaint or referral anonymously? Being able to contact you for further information or clarification may be helpful.

No

Title

Mr

First Name

Mohammadayyaz

Middle Name

Aneesahamad

Last Name

Mulla

Street Address

Azam Nagar Belgaum

Country

India

Zip / Postal Code

590010

City

Belgaum

State / Province

Karnataka

What is the best way to reach you?

Email

If you are filing on behalf of another government agency or outside organization, please identify it here

md.ayyaz@gmail.com

Are you represented by an attorney in connection with this matter, or would you like to provide your attorney's contact information?

No

Select the profession that best represents you.

Other

For Other, please specify.

Managing Director, CEO/CTO and Founder of Anthropic Softwares Pvt Ltd, an Indiantechology company and complainant in the pending litigation referenced in this submission.

Attach Files

Upload Document(s)

- 001_SEC_Main.pdf (511.94 KB)
- Court_09_07_2026_1.pdf (740.4 KB)