



U.S. Securities and
Exchange Commission

Tips, Complaints, and Referrals

Summary Page - After Submission

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The Complaint Form questions that you responded to, the answers you entered for those questions, and any documents that you have uploaded to this TCR are listed below.

Submission Number: 17824-136-196-646

Thank you for contacting the United States Securities and Exchange Commission. This automated response with your Submission Number confirms that your submission has been received successfully. Please write down your Submission Number or print/save a copy of your submission for future reference. Once you navigate away from this page you will not be able to get back to your submission.

We are always interested in hearing from the public, and your submission will be given careful consideration in view of the Commission's overall responsibilities under the federal securities laws. Please note, however, that it is the Commission's policy to conduct its investigations on a non-public basis in order to preserve the integrity of its investigative process. Subject to the provisions of the Freedom of Information Act, we cannot disclose to you any information which we may gather, nor can we confirm the existence or nonexistence of an investigation, unless such information is made a matter of public record in proceedings brought before the Commission or the courts.

Therefore, this may be the only response that you receive. If you want to learn more about how the Commission handles inquiries or complaints, please visit http://www.sec.gov/complaint/info_tipscomplaint.shtml.

Please note that if you selected an answer of "Yes" to the question indicating that you want to file this information under the SEC's Whistleblower program, you are agreeing to the following statement:

- I declare under penalty of perjury under the laws of the United States that the information contained herein is true, correct and complete to the best of my knowledge, information, and belief. I fully understand that I may be subject to

prosecution and ineligible for a whistleblower award if, in my submission of information, my other dealings with the SEC, or my dealings with another authority in connection with a related action, I knowingly and willfully make any false, fictitious, or fraudulent statements or representations, or use any false writing or document knowing that the writing or document contains any false, fictitious, or fraudulent statement or entry.

If you are an attorney completing this form on behalf of your client, by selecting an answer of “Yes” to the question indicating that you want to file this information under the SEC’s Whistleblower program, you are agreeing to the following statement:

- I certify that I have reviewed this form for completeness and accuracy and that the information contained herein is true, correct and complete to the best of my knowledge, information and belief. I further certify that I have verified the identity of the whistleblower on whose behalf this form is being submitted by viewing the whistleblower’s valid, unexpired government issued identification (e.g., driver’s license, passport) and will retain an original, signed copy of this form, with Section F signed by the whistleblower, in my records. I further certify that I have obtained the whistleblower’s nonwaivable consent to provide the Commission with his or her original signed Form TCR upon request in the event that the Commission requests it due to concerns that the whistleblower may have knowingly and willfully made false, fictitious, or fraudulent statements or representations, or used any false writing or document knowing that the writing or document contains any false fictitious or fraudulent statement or entry; and that I consent to be legally obligated to do so within 7 calendar days of receiving such a request from the Commission.

PRINT SUBMISSION

What is your complaint about?

Please select the option that best describes your complaint.

Material misstatement or omission in a company's public filings or financial statements, or a failure to file

Please select the specific category that best describes your complaint.

False/misleading offering documents

Is this supplemental information to a previous complaint?

Yes

What is the Submission Number of the previous complaint?

17814-688-366-209

In your own words, describe the conduct or situation you are complaining about.

Anthropic Softwares Private Limited (India) respectfully submits this supplemental complaint to be read together with **SEC TCR Submission No. 17814-688-366-209**, concerning additional developments that have occurred since the original submission and that may be relevant to the Commission's review of Anthropic PBC's confidential draft S-1 registration statement and any subsequent public registration statement.

The original submission described pending commercial litigation in India concerning the use of the "ANTHROPIC" name, the Complainant's asserted prior-use rights dating back to 2017, documented marketplace confusion, business disruption, email misrouting, and related investor disclosure considerations.

Since that submission, several significant procedural developments have occurred. The Complainant's Trade Mark Application No. **7298215** for the mark **"ANTHROPIC"** in Class 42, claiming first commercial use from **26 April 2017**, has been examined, accepted, and advertised in **Trade Marks Journal No. 2249 dated 23 February 2026**. Anthropic PBC has subsequently filed a formal Notice of Opposition against that application, and the Complainant has initiated rectification proceedings challenging Anthropic PBC's Indian trademark rights. These proceedings place competing claims concerning ownership, priority, registrability, and enforceability of rights in the "ANTHROPIC" mark before the competent authorities in India.

In addition, court process in the pending commercial suit has been formally served upon Anthropic PBC through the procedures prescribed by the Hague Service Convention. The accompanying Certificate of Service confirms completion of service in June 2026. Accordingly, Anthropic PBC has been formally notified of the pending proceedings through the applicable international service mechanism, and the litigation will continue in accordance with Indian law and the directions of the competent court.

The Complainant has submitted extensive documentary evidence supporting its asserted prior commercial use and goodwill under the "ANTHROPIC" mark since 2017, including incorporation records, continuous commercial use, software deployment records, Google Play Store publication history, customer contracts, institutional deployments, government recognitions, patent applications and granted patents, university collaborations, Smart City projects, technical support records, and documented instances of marketplace confusion. The merits of these competing claims remain to be determined by the Indian courts and the Indian Trade Marks Registry.

The pending proceedings concern Anthropic PBC's principal corporate and commercial

identifier rather than an individual product name. Anthropic PBC's decision to formally oppose the Complainant's published trademark application, together with the Complainant's rectification proceedings, demonstrates that competing rights to the "ANTHROPIC" mark are being actively contested through the statutory processes established under Indian law. While the outcome of those proceedings remains to be determined, the existence of multiple pending proceedings concerning the issuer's principal corporate and commercial identifier may be relevant to investors evaluating the company's intellectual property position, branding strategy, operational risks, and international expansion.

The Complainant is ****not** requesting that the SEC determine the merits of the pending Indian proceedings******, as those matters are properly before the competent judicial and administrative authorities in India. Rather, the Complainant respectfully requests that the Commission consider whether the pending litigation, trademark opposition proceedings, rectification proceedings, formal Hague Convention service of process, asserted prior-use claims, and the resulting legal uncertainty concerning Anthropic PBC's principal corporate identifier in India should be evaluated in connection with the company's registration statement, including disclosures relating to legal proceedings, intellectual property risks, trademark and branding risks, international operations, and other matters that may be material to a reasonable investor under the applicable disclosure standards.

This supplemental complaint is submitted in good faith, without prejudice to the rights of the parties in the pending Indian proceedings, and in the interests of transparency and investor protection. The Complainant respectfully requests that this supplemental submission be associated with ****SEC TCR Submission No. 17814-688-366-209****, considered together with all previously submitted materials, and that, to the extent permitted by the Commission's policies and procedures, the Commission advise if any additional information or supporting documentation would assist in its review. Should further material developments occur in the pending judicial or administrative proceedings, the Complainant may submit additional supplemental information for association with the same TCR submission so that the Commission's record remains complete and current.

Are you having or have you had difficulty getting access to your funds or securities?

No

Did you suffer a loss?

No

When did you become aware of the conduct? (mm/dd/yyyy)

08/05/2025

When did the conduct begin? (mm/dd/yyyy)

08/05/2025

Is the conduct ongoing?

Yes

Has the individual or firm acknowledged the conduct?

No

How did you learn about the conduct? You may select more than one answer.

Conversations, Publicly available information

Have you taken any action regarding your complaint? You may select more than one answer.

Legal action

Provide details.

This submission supplements **SEC TCR Submission No. 17814-688-366-209** and should be reviewed together with the original complaint and supporting materials previously submitted.

1. Original Submission

The original TCR described pending commercial litigation in India involving competing rights to the "ANTHROPIC" name, the Complainant's asserted prior commercial use since 2017, documented marketplace confusion, business disruption, email misrouting, and related investor disclosure considerations.

2. New Developments Since the Original Submission

Since filing the original TCR, several significant procedural developments have occurred:

A. Published Trademark Application

The Complainant's Indian Trade Mark Application No. **7298215** for the mark **"ANTHROPIC"** in Class 42, claiming first commercial use from **26 April 2017**, has been examined, accepted, and advertised in **Trade Marks Journal No. 2249** dated 23 February 2026.

Acceptance and advertisement indicate that the application progressed beyond examination and entered the statutory opposition process before the Indian Trade Marks Registry.

B. Pending Opposition and Rectification Proceedings

Following publication:

* Anthropic PBC filed a formal Notice of Opposition against the Complainant's published trademark application.

* The Complainant initiated rectification proceedings challenging Anthropic PBC's Indian trademark registration.

These proceedings place competing claims concerning ownership, priority, registrability, and enforceability of rights in the "ANTHROPIC" mark before the

competent Indian authorities.

While the filing of an opposition does not determine the merits of either party's claims, Anthropic PBC's decision to invoke the statutory opposition process, together with the Complainant's rectification proceedings, demonstrates that both parties consider the competing trademark claims sufficiently significant to pursue the remedies available under Indian trademark law.

****C. Hague Convention Service of Process****

Court process in the pending commercial suit (COMM/OS/2/2026) has been formally served upon Anthropic PBC through the procedures prescribed by the Hague Service Convention. The accompanying Certificate of Service confirms completion of service in June 2026.

Accordingly, Anthropic PBC has been formally notified of the pending proceedings through the applicable international service mechanism, and the litigation will continue in accordance with Indian law and the directions of the competent court.

3. Prior-Use Evidence

The Complainant has submitted substantial documentary evidence supporting its asserted prior commercial use and goodwill under the "ANTHROPIC" mark since 2017, including incorporation records, software deployment history, Google Play Store publication records, customer contracts, institutional deployments, government recognitions, patent applications and granted patents, university collaborations, Smart City projects, technical support records, and documented instances of marketplace confusion.

The merits of these competing claims remain to be determined by the competent Indian courts and the Indian Trade Marks Registry.

4. Investor Disclosure Considerations

The pending proceedings concern Anthropic PBC's principal corporate and commercial identifier rather than an individual product trademark.

India represents one of the world's largest and fastest-growing markets for artificial intelligence, enterprise software, cloud computing, and developer services.

The existence of pending commercial litigation, trademark opposition proceedings, rectification proceedings, and completed Hague Convention service of process creates continuing legal uncertainty concerning competing rights to the "ANTHROPIC" mark in India. While the outcome of these proceedings has not yet been determined, the Complainant respectfully submits that these developments may be relevant when evaluating legal, intellectual property, branding, operational, and international expansion risks associated with Anthropic PBC.

The Complainant respectfully requests that the Commission consider this supplemental information together with **SEC TCR Submission No. 17814-688-366-209** when evaluating Anthropic PBC's confidential draft S-1 registration statement and any subsequent public registration statement, including whether these matters have been appropriately considered in connection with disclosures relating to legal proceedings, intellectual property, trademark and branding risks, international operations, and other risk factors that may be material to a reasonable investor.

The Complainant is not requesting that the Commission determine the merits of the pending Indian proceedings. Rather, the Complainant respectfully requests that the Commission consider these additional factual developments in conjunction with the original TCR submission. If further material developments occur in the pending judicial or administrative proceedings, the Complainant may submit additional supplemental information for association with **TCR Submission No. 17814-688-366-209** so that the Commission's record remains complete and current.

Who are you complaining about?

Person or Firm 1

Are you complaining about a person or a firm?

Firm

Select the title that best describes the firm the complaint is about.

Private/Closely Held Company

Are you or were you associated with the person or firm when the alleged conduct occurred?

No

Identifier Type

Unknown

Are you a current or former Employee, Officer, Partner, or Employee Director of any entity you are complaining about?

No

Are you a current or former Non-Employee Director, Consultant, Contractor or Trustee of any entity you are complaining about?

No

Firm Name

Anthropic PBC

Street Address

Anthropic, PBCc/o Corporation Service Company251 Little Falls DriveWilmington, DE 19808

Country

United States

Zip / Postal Code

19808

City

WILMINGTON

State / Province

Delaware (DE)

Mobile Phone

919945950646

Email Address

legal@anthropic.com

Website

<https://www.anthropic.com/>

If the complaint is about an entity or person that has custody or control of your investments, have you had difficulty contacting that entity or person?

No

Which investment products are involved?

Select the type of product involved in your complaint.

Equities (e.g., common stock, preferred stock)

Please select the category that best describes the security product.

Other equities

For other equities, please provide more information.

Anthropic PBC draft S-1 registration statement

Enter the product name(s), if known.

Anthropic PBC draft S-1 registratio

About you

Are you filing this tip under the SEC's whistleblower program?

No

Are you submitting this tip, complaint or referral anonymously? Being able to contact you for further information or clarification may be helpful.

No

Title

Mr

First Name

Mohammadayyaz

Middle Name

A

Last Name

Mulla

Street Address

Azam Nagar Belgaum

Address (Continued)

azam nagar , belgaum

Country

India

Zip / Postal Code

590010

City

Belgaum

State / Province

Karnataka

Home Telephone

919945950646

Email Address

md.ayyaz@gmail.com

What is the best way to reach you?

Email

Are you represented by an attorney in connection with this matter, or would you like to provide your attorney's contact information?

No

Select the profession that best represents you.

Other

For Other, please specify.

Managing Director, CEO/CTO and Founder of Anthropic Softwares Pvt Ltd, an Indiantechology company and complainant in the pending litigation referenced in this submission.

Attach Files

Upload Document(s)

- 01-Main Application Sec Suplimental Submission 17814-688-366-209_0.pdf (1.26 MB)
- 02-Anthropic Trade mark published in journal_0.png (66.64 KB)
- 03-Anthropic PBC Filled for OPPOSITION TM-O(NOTICE OF OPPOSITION)_0.pdf (639.85 KB)
- 04-TM-O Notice of Rectification to cancell Anthropic PBC Trade Mark_0.pdf (215.16 KB)
- 05-Huge Anthropic PBC Legal notice Served.pdf (1.34 MB)