



U.S. Securities and
Exchange Commission

Tips, Complaints, and Referrals

Summary Page - Before Submission

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The Complaint Form questions that you responded to, the answers you entered for those questions, and any documents that you have uploaded to this TCR are listed below.

What is your complaint about?

Please select the option that best describes your complaint.

Material misstatement or omission in a company's public filings or financial statements, or a failure to file

Please select the specific category that best describes your complaint.

False/misleading offering documents

Is this supplemental information to a previous complaint?

No

In your own words, describe the conduct or situation you are complaining about.

Anthropic Softwares Pvt Ltd (India), incorporated in 2017 and using the “Anthropic” name and brand continuously since 2017, respectfully submits this complaint regarding potential material disclosure issues in connection with Anthropic PBC’s confidential draft S-1 registration statement and related filings.

A commercial suit (Case No. COMM/OS/2/2026) is presently pending before the Principal District and Commercial Court, Belagavi, Karnataka, India. The proceedings concern the continued use of the “Anthropic” name, mark, branding, and associated goodwill in India. The suit seeks, inter alia, interim and permanent injunctive relief, damages, accounts of profits, corrective measures, and related relief based upon asserted prior-use rights dating back to 2017.

The dispute concerns the core “Anthropic” business identifier under which Anthropic PBC operates and may seek to raise public capital. The coexistence of anthropic.in and anthropic.com has resulted in documented instances of actual customer confusion, business-enquiry diversion, professional correspondence misdirection, and email misrouting, creating potential operational, reputational, governance, and information-security risks.

The Complainant further submits that Anthropic PBC appears to have had knowledge, or at minimum was in a position to become aware, of the Complainant’s long-standing use of the “Anthropic” name through, among other things, a copyright takedown notice dated 5 August 2025 directed at content hosted on anthropic.in, media coverage concerning the dispute, court proceedings involving Anthropic India Private Limited, and direct communications regarding confusion and misrouting issues.

The complaint also raises questions concerning the relationship between Anthropic PBC and its Indian subsidiary(ies), including positions taken in Indian court proceedings regarding legal separateness, governance, operational integration, and allocation of liabilities and risks.

Attached are the full complaint, court filings, MCA records, evidence relating to customer confusion and email misrouting, prior-use materials, the copyright takedown notice, media coverage materials, and an Index of Attachments. For ease of review, the document titled “02_Cover_Summary_and_Index.pdf” contains an executive summary and complete index of all supporting materials submitted.

Given that the proceedings concern the continued use of a core business identifier, that

injunctive relief is being sought, and that the dispute involves documented instances of actual marketplace confusion, the Complainant respectfully submits that a reasonable investor may consider these matters important when evaluating a potential investment in Anthropic PBC.

The Complainant respectfully requests that the Commission review whether these matters and their associated risks have been appropriately considered and disclosed in Anthropic PBC's registration materials. This submission is made in good faith, in the interest of transparency, investor protection, and market integrity, and without prejudice to the Complainant's rights in the pending Indian proceedings.

Are you having or have you had difficulty getting access to your funds or securities?

No

Did you suffer a loss?

No

When did you become aware of the conduct? (mm/dd/yyyy)

05/08/2025

When did the conduct begin? (mm/dd/yyyy)

05/08/2025

Is the conduct ongoing?

Yes

Has the individual or firm acknowledged the conduct?

No

How did you learn about the conduct? You may select more than one answer.

Conversations, Internal business documents, Publicly available information, Social media (e.g., Facebook, Twitter, blogs, chat rooms, and electronic communities of interest)

Have you taken any action regarding your complaint? You may select more than one answer.

Complained to firm, Legal action

Provide details.

The complainant has commenced legal proceedings in India (Case No. COMM/OS/2/2026) against Anthropic PBC and related entities concerning the continued use of the "Anthropic" name and associated goodwill. The complainant has also communicated concerns regarding customer confusion and email misrouting to representatives associated with Anthropic PBC and its Indian operations. The matters remain unresolved and the litigation is presently pending before the competent court in India.

Who are you complaining about?

Person or Firm 1

Are you complaining about a person or a firm?

Firm

Select the title that best describes the firm the complaint is about.

Private/Closely Held Company

Are you or were you associated with the person or firm when the alleged conduct occurred?

No

Identifier Type

Unknown

Are you a current or former Employee, Officer, Partner, or Employee Director of any entity you are complaining about?

No

Are you a current or former Non-Employee Director, Consultant, Contractor or Trustee of any entity you are complaining about?

No

Firm Name

Anthropic PBC

Street Address

Anthropic, PBC 548 Market Street, PMB 90375

Country

United States

Zip / Postal Code

94104-5401

City

San Francisco

State / Province

California

Email Address

legal@anthropic.com

Website<https://www.anthropic.com/>

If the complaint is about an entity or person that has custody or control of your investments, have you had difficulty contacting that entity or person?

No

Person or Firm 2

Are you complaining about a person or a firm?

Firm

Select the title that best describes the firm the complaint is about.

Private/Closely Held Company

Are you or were you associated with the person or firm when the alleged conduct occurred?

No

Identifier Type

Unknown

Are you a current or former Employee, Officer, Partner, or Employee Director of any entity you are complaining about?

No

Are you a current or former Non-Employee Director, Consultant, Contractor or Trustee of any entity you are complaining about?

No

Firm Name

Anthropic India Private Limited

Street Address

Kaman Amaryllis, Level Ground No. 139/26-1, 112, Domlur, Bangalore, Bangalore North, Karnataka,

Country

India

City

Bangalore

State / Province

Karnataka

Email Address

antindia@anthropic.com

Website

<https://www.anthropic.com/>

If the complaint is about an entity or person that has custody or control of your investments, have you had difficulty contacting that entity or person?

No

Which investment products are involved?

Select the type of product involved in your complaint.

Equities (e.g., common stock, preferred stock)

Please select the category that best describes the security product.

Other equities

For other equities, please provide more information.

Anthropic PBC draft S-1 registration statement

Enter the product name(s), if known.

Anthropic PBC draft S-1 registratio

About you

Are you filing this tip under the SEC's whistleblower program?

No

Are you submitting this tip, complaint or referral anonymously? Being able to contact you for further information or clarification may be helpful.

No

Title

Mr

First Name

Mohammadayyaz

Middle Name

Aneesahamad

Last Name

Mulla

Street Address

Azam Nagar Belgaum

Country

India

Zip / Postal Code

590010

City

Belgaum

State / Province

Karnataka

Home Telephone

9945950646

Email Address

md.ayyaz@gmail.com

What is the best way to reach you?

Email

Are you represented by an attorney in connection with this matter, or would you like to provide your attorney's contact information?

No

Select the profession that best represents you.

Other

For Other, please specify.

Managing Director, CEO/CTO and Founder of Anthropic Softwares Pvt Ltd, an Indian technology company and complainant in the pending litigation referenced in this submission.

Attach Files

Upload Document(s)

- *01_Main_Complaint_Letter.pdf* (1007.13 KB)
- *02_Cover_Summary_with_Index.pdf* (1.13 MB)
- *03_Court_Objections_Indian_Subsidiary.pdf* (5.11 MB)
- *04_Case_History_and_Details_Screenshots.pdf* (864.97 KB)
- *07_Misrouting_Evidence.zip* (458.38 KB)
- *08_Prior_Use_Govt_Documents.zip* (3.7 MB)
- *09_Copyright_Takedown_Notice_from_Anthropic_PBC.pdf* (26.79 KB)
- *10_Media_Coverage.pdf* (234.19 KB)
- *05_Plaint_Excerpts_compressed.pdf* (7.79 MB)
- *06_Subsidiary_Relationship_Evidence.zip* (9.78 MB)